

PATENT
0304520

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application
Morito MORISHIMA

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: June 26, 2003

For: **OPTICAL DISC RECORDING APPARATUS AND
METHOD OF FORMING AN IMAGE ON AN OPTICAL
DISC**

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

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Date of Deposit: June 26, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
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Dear Sir:

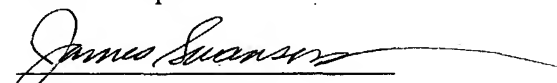
I hereby certify that

- X Letter of transmittal
- X Patent application (49 pages of specification; 15 claims; 1 pages of abstract)
- X A check in the amount of \$1002.00 to cover the filing fee
- X 12 sheets of informal drawings
- X A Declaration/Power of Attorney
- X An Assignment of the invention with cover sheet and \$40.00 recordation fee
- X Certified copy of (1) Japanese patent application from which priority is claimed
- X Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Mail Stop Patent Application
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P.O. Box 1450
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June 26, 2003
Date of Deposit


James Swanson

06/26/03

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

**Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450**

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): Morito MORISHIMA

Title OPTICAL DISC RECORDING APPARATUS AND METHOD
OF FORMING AN IMAGE ON AN OPTICAL DISC

| | | |
|-------------|-----------|------------|
| Atty. Dkt.: | P 0304520 | H7953US |
| | M# | Client Ref |

including:

Date: June 26, 2003

1. Specification: 49 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 15 numbered claims
4. ☒ Drawings: 12 sheet(s) ☒ informal; ☐ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 6 or 7). (Prior M# _____ SN _____)
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

| | | | |
|---------|---|-------|--------------|
| No. | / | filed | _____ |
| No. | / | filed | _____ |
| No. | / | filed | _____ |
| No. PCT | / | filed | _____, which |

designated the US.

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US application(s)

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☒ Assignee Yamaha Corporation

Address 10-1, Nakazawa-cho, Hamamatsu-shi, Shizuoka-ken, Japan

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. _____ (country)

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|---------------|---|-------------|
| (1) 2002-190634 | June 28, 2002 | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |
| (7) | | (8) | |
| (9) | | <input type="checkbox"/> See 3 rd page for additional priorities | |

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
13. Small entity status → ☒ is not claimed; ☐ is claimed (**Pre-filing confirmation required**)
- 13(a). ☐ Attached: _____ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)
- 13(b). ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (4) | |
| (2) | | (5) | |
| (3) | | (6) | |

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

| | | | | Large/Small Entity | | Fee Code |
|---|----|------------|----|---------------------------|---------------|----------|
| 18. Basic Filing Fee | | | | \$750/\$375 | \$750 | 101/201 |
| 19. Total Effective Claims | 15 | minus 20 = | *0 | x \$18/\$9 = | + 0 | 103/203 |
| 20. Independent Claims | 6 | minus 3 = | *3 | x \$84/\$42 = | + 252 | 102/202 |
| *If answer is zero or less, enter "0" | | | | | | |
| 21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application) | | | | + \$280/\$140 | + 0 | 104/204 |
| 22. | | | | TOTAL FILING FEE = | \$1002 | |
| 23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee | | | | + \$130 | + 0 | 139 |
| 24. If "assignment" box 8 is X'd, add recording fee | | | | + \$40 | + 40 | 581 |
| 25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No. | | | | + \$130 | + 0 | 122 |
| 26. | | | | TOTAL FEE = | \$1042 | |

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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

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RRW/JES

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments